

Summary of the enhancements to the Short Code and Algo ID solution:

| Current Short Code and Algo ID solution | Short Code and Algo ID solution 2.0 as of 18 November 2024 | Comment |
|---|--|--|
| Last-In-First-Out (LIFO) processing logic and end of day processing | First-In-First-Out (FIFO) processing logic and intraday processing in addition to the end of day processing | Numerous uploads for a Short Code or Algo ID are processed sequentially and documented in the reports intraday. Hence, uploads and “missing” errors may be checked and potential correction submissions may be conducted and verified during the trading day. Hence, final missing Short Codes might be avoided. |
| Short Code CSV upload file comprising nine fields | Short Code CSV upload file comprising ten fields (new field “ValidToDate”, new value “C” for corrections in field status indicator) | Upload files for Short Codes must be adapted to new field and new value addition in order to conveniently use one upload file for regular maintenance and also corrections of Short Codes. |
| Algo ID CSV upload file comprising four fields (UploadDate, ValidFromDate, AlgoID, ResponsibleID) | Algo ID CSV upload file comprising five fields including two new fields (ParticipantID, MIC, ValidFromDate, AlgoID, ResponsibleID) | Upload files for Algo IDs must be adapted to altered fields. |
| CUE upload file naming convention with (upcoming) trading date | CUE upload file naming convention with current upload date | CUE will add a timestamp automatically; upload date changed from trading date to current calendar date (non-trading and trading dates) in order to provide a convenient upload process. |
| CUE upload file reject due to incorrect records and provision of CUE error log file | File with erroneous records will be transferred to the data warehouse. Validation results are published in the T7 XML Member reports and in the CUE error log file | Trading Participants may check the CUE error log file for preliminary validation results and can act immediately or check the T7 XML Member reports for the final validation result with each report provision in order to manage upload errors efficiently. |
| T7 XML Member reports TR160-TR163 – end of day provision | T7 XML Member reports TR160-TR163 – intraday and end of day provision; new structure and fields | The intraday report generation process starts at 10:00, 14:00 and 18:00 CE(S)T; new structure for convenient display and new fields in order to cater for the intraday processing. |
| Nonexistent | New T7 XML Member report TR169 “Short Code ID – Correction Report” | Ongoing corrections of incurred final missing Short Codes must be submitted in due course. |
| Nonexistent | New T7 XML Member reports TR170 “Short Code ID – Historical Correction Report” and TR171 “Short Code ID – Historical Long Code Correction Report” | Historical corrections of Short Code missings and incorrect Long Codes must be submitted in a dedicated correction exercise. |
| T7 XML Member report provision to CRE | T7 XML Member report provision to CRE and the Short Code and Algo ID upload GUI | Reports can be displayed conveniently in the Short Code and Algo ID upload GUI in addition to the report download option on CRE. |