#	Question	Answer
1	For historical corrections can we send action type C (Correction) for correction without sending a N (NEW)?	Yes, you can. However, if you want to use this Short Code in the future you need to send also an N.
2	Will the test environment be made available to test the new format for upload functionality via CUE (Trader & Algo shortcode)? If yes, When will it be available?	The simulation environment for Short code and algo ID solution 2.0 is already active. You can already test the new functions from now on.
3	The change from LIFO to FIFO is about the order of upload files by CUE?	For upload files and records (CUE and GUI), yes. Every file and record will be processed by time of reception and then documented and submitted to you on 10:00, 14:00, 18:00 CE(S)T and with the EoD report.
4	What is the timing of the intraday TR160-3 files?	The intraday reports will start to be processed at 10:00, 14:00, 18:00 CE(S)T.
5	What should we put by defaut for new registration for the ValidToDate? 31.12.9999?	Please keep it empty for N, M, D. Only for corrections with status indicator C a ValidToDate will be required as provided in the new TR169 correction report.
6	When I want to delete a SC/LC combination, which T+1 date do I have to use in Simulation environment?	T+1 refers to the next simu batch day. We will have a fix in simu to solve the issue (no application of T7 simu batch calendar on CUE) planned for Monday 14. October.
7	Does the correction functionality replace the modify functionality?	No, the usual SC management shall be done using N, M, D. Only for the upload of final missings (TR169) you need to use C, i.e. the correction functionality. Or for the historical corrections (TR170, TR171) you also need to use C.
8	If I upload a file now in the GUI the file name is automatically changed to the correct format. Will this functionality stay in the new situation?	Yes. When uploading files via the GUI it is recommened to follow the naming convention for upload files for easy tracability. However, if you do not follow, we will continue to re-name the files you upload following the naming convention.
9	For historical corrections can we send action type C (Correction) for correction without sending a N (NEW)?	Yes, for corrections you can only send a file with Status Indicator C and a ValidFrom and ValidTo date.
10	Is it correct that the Status Indicator C will be available as of the 18th November?	Yes, from 18th November 2024 you can use the new Status Indicator for corrections in production. For purpose of testing the status indicator is already active in simulation too.
11	Until what time do we have upload the sc/lc resolution file to get processed within the 10:00/14:00/18:00 batch slots?	Uploads are processed once received and then documented in the reports during the report generation runs, which start at 10:00/14:00/18:00 CE(S)T. Hence, you are safe having done it 5 to 10 min previous to the start of the jobruns.
12	When will we receive a TR170 for the first time so we can look for the short codes and know how many we have to report?	On the 3rd of February 2025 in production.
13	What if we have sent a wrong short code in the order that we can't map to a long code. Is there any way to correct it and not be reported as final missing ?	No, the audit trail cannot and is not allowed to be changed. Please check section 3.3.4.3 in the handbook for the timely limited registrations of SCs.
14	Why don't we have the same timeframe (24h) to process missing Algo IDs as SC ?	The regulation stipulates to have an algo registered before usage. Hence, you cannot submit this afterwards.
15	How do you know if you have historical corrections to make?	It will be displayed in the TR169 (for any final missing that needs to be corrected post release on Nov) and TR170, TR171 respectively in case of the historical corrections.
16	T7 GUI Mifid fields - it is about flagging the orders - The exe and inv Qualifier can be modified from Human to Algo - Cant you remove the Qualifier Option "Algo" as i assume an Algo does not use the T7 interface ? We want to avoid Human traders flagging orders as Algo.	The regulation stipulates that either an algo or a human can take the investment / execution decision.
17	For corrections which date should need to be take into consideration ValidFrom T+1?	ValidFromDate must be equal or older than t-2 and ValidToDate must be equal to or older than trading date t-2. In TR169 and TR170 reports, members will find the valid from / valid to dates they should use for corrections.

18	Currently we have time till 11:30 PM to make all the corrections for reported errors that we receive in the morning. What if we receive the file with error at 6.00 PM? Do we still have to fix it by 11:30 PM or are we going to have the possibility to correct it the next day as well?	The option to upload the missing SC on T+1 shall remain. Reporting deadline does not change. T+1 late registrations of missing SCs is possible (using valid from date = T). Corrections are only to be used for SC registations that were not performed within the reporting deadline. Ideally this is never required.
19	Do these changes not impact the ETI Order Entry Connections right?	Yes, indeed. The necessary changes were already implemented in the trading system with T7 release 12.0 in 2023.
20	Does the TR170 report include corrections before 8th Nov. ?	TR170 reflects SC submitted in order/quotes/TES Enlight between Feb 3 2020 - Nov 15 2024 with no registration by t+1 EoB. This report will only be provided for the one-off correction exercise in 2025.
21	Should we use the Long Code corrections for updates to e.g. new passports or name changes?	No updates for registrations must be done using Status Indicator "M - modification". The correction of final missings starting with 18. November 2024 (ongoing corrections) must be uploaded with Status Indicator "C - Corection" and the one-off historical correction exercise (data of 2020 - 2024) must be uploaded also with C.
22	When is a longcode considered to be incorrect?	A Long Code is considered incorrect, if the provided data of classification rule or country or priority does not match with the provided Long Code. For instance, a Short Code record was provided with the country "DE" and priority "1" for which ESMA defined the Long Code being a CONCAT.
23	Will it be possible to Register temporary short codes, i.e. short codes with limited validity?	No, the ValidTo is only for corrections with Status Indicator C. New registrations with Status Indicator N need to be deleted with a separate deletion record if required.
24	For the Algo ID CSV file, how will we generate the values for participant Id and Mic? Will it be provided to us or do we need to generate it.	The MIC is the market you trade, i.e. XETR for Xetra or XEUR for Eurex. The participantID is your ID in our systems.
25	If we upload a new shortcode after have received it in intraday TR160 report, will it appear in the TR169/TR170 next day ?	If you do the upload intraday, then no, the TR169 summarizes SC final missings only. The TR170 is for historical corrections only, which is a one-off exercise in 2025. For regular missings and final missings the reports TR160 (missing) and TR166 (final missing s of reporting day t-2) and the new TR169 report (summary of all incurred final missings since 18.11.2024, i.e. summarizes all TR166 final missings on an ongoing basis) are to be used.
26	Do you still only have 'batch runs' in UAT for some days and not others?	Yes, that is correct. However, there is usually three continuous batch days from Tuesday to Thursday so that you can test as if it is in Prod with the timing. https://www.eurex.com/ex-en/support/initiatives/simulation-calendar https://www.xetra.com/xetra-en/trading/trading-calendar-and-trading-hours
27	How can I access Company Administration in Simulation. In the past it was accessible via Member Section, now Member Section just links to the PROD Short Code upload section.	You can access the simulation by selecting "Simulation" at the upload page/eForm page you can find out more in our user guide: https://www.eurex.com/resource/blob/3997186/f98a7780b2a93230f9cd02cb027336a0/data/GUI-user-guide_Short-Code-ID-and-AlgoID-upload.pdf
28	In case a non-registered short code was used, will we get an email / message or do we have to consult the TR reports?	For the time being please consult the TR reports. However, we are working on an email notification service, which will provide a summary of error types and totals of each report (intraday and EOD).
30	National identifier formats have changed for some countries in the past, will you support also historical format. What is the consequence if we cannot fill all gaps due to missing data? You also apply zero tolerance policy?	The overtime changed National Identifiers are accounted for in the historical correction exercise. Data you cannot provide should be listed and sent to the trading venues.

31	For temporary short codes, can you enter the N and the C on the same file with the C valid from the following day?	It is feasible to have more than one record for the same SC in one file. Acccording to FIFO, they are processed subsequently. Hence, records for the same SC with N and C are fine. However, the C is only for final missings, i.e. for records with a ValidFrom t-2 or older. An N is still neccessary for registrations with ValidFrom t-1, t and t+1.
32	Do you plan to provide a report with short code missing but corrected before the T+1 deadline ?	As missing detected means it is documented in an intraday or EOD TR160 report. A successfully registered new SC will be provided in the intraday/EOB TR161 report. As the missing is corrected, it will not be provided in the next intraday/EOB TR160 error report anymore.
33	Did I got it right, that with the FIFO process, only the first file is processed and if anything needs to be changed, the first file should be modified? if yes, how do we modify the first file if that is already sent?	No, the FIFO process is different. All files and records are processed subsequently. In case there are numerous records sent for one SC on a day, the last successfully registered SC of the given business day, is the legally binding SC registration for this reporting day. The TR160 and TR161 will document all records sorted by SC and timestamp. For example: A record is sent at 9AM. It gets processed once received. With the next report generation run, which starts at 10AM, this record is documented in a) TR160 if the record did not pass the validation (error) or b) TR161 if the record passed the validation successfully (valid registration). In scenario b) the submitter identifies a typo and wants to correct it. A record with status indicator "M" is sent at 11AM and the typo is corrected. The next intraday report (2PM) will document the first record sent at 9AM and the second record sent at 11AM. Hence, both records will be shown in the following intraday reports and the EOD report. All records are sorted by SC and timestamp. The last record received for a SC is marked in the EOD report as legally binding for that reporting days audit trail.
34	Regarding the question about Typos: the upload of a new registration and deletion was not possible on the same day. Has this changed?	Yes, with the new solution the registration with effective date T-1 or T can be send within the same file as the deletion of the SC with eff date T+1.