Chapter I of the Clearing Conditions of Eurex Clearing AG

General Provisions

As of 14.07.2025

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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED;

DELETIONS ARE CROSSED OUT

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[…]

Part 1 General Clearing Provisions

[…]

2 Clearing Members

[…]

2.5 Specific provisions and additional continuing obligations for U.S Clearing Members with respect to Eurex Transactions

[…]

2.5.1 Special prerequisites and provisions for U.S. Clearing Members with respect to Eurex Transactions

[…]

(2) The applicant must either qualify as:

[…]

"**SEC-Licensed Proprietary Trading Firm**" means a (a) broker-dealer that is registered with the SEC under Section 15(b) of the Exchange Act that will ~~only~~ clear Eurex Transactions that are CFTC-approved futures contracts or options on futures contracts (and not equity options or equity index options ~~securities~~ products) with Eurex Clearing AG and (b) only clears transactions for its own account or the account of its Affiliates and not for the account of either a "30.7 customer" within the meaning of CFTC Rule 30.1 (f) or a foreign futures customer as described in Section 761 (9) of the Bankruptcy Code. The term does not include a broker-dealer that is merely notice-registered with the SEC under Section 15(b)(11) of the Exchange Act.

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